

Spectromicroscopic investigations of nanostructured materials for device applications

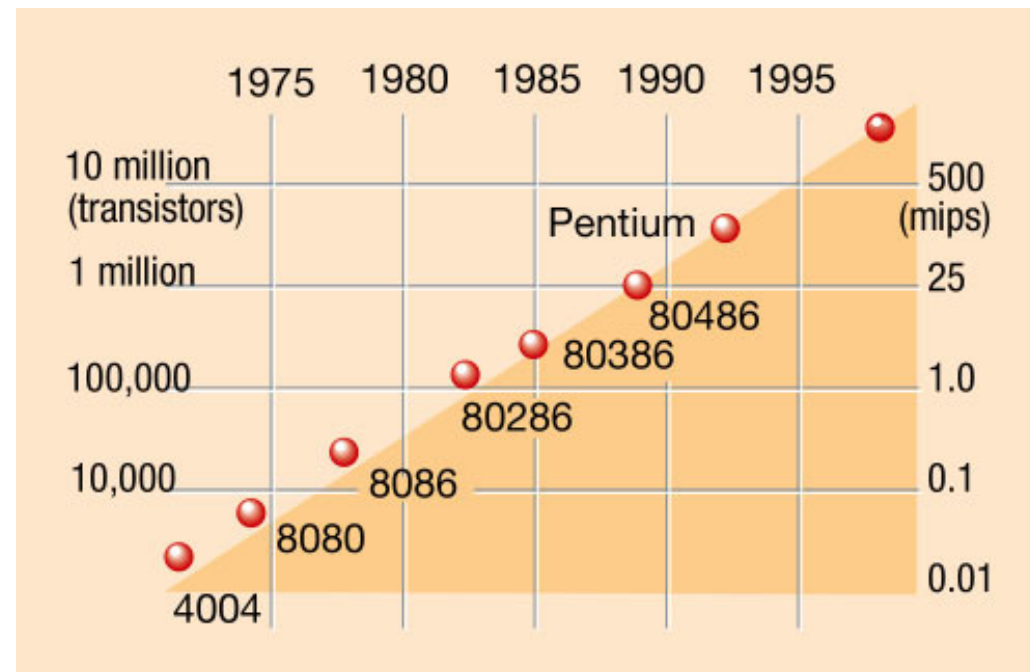


Stefan Heun

*TASC-INFM Laboratory,
34012 Trieste (TS), Italy*

Motivation

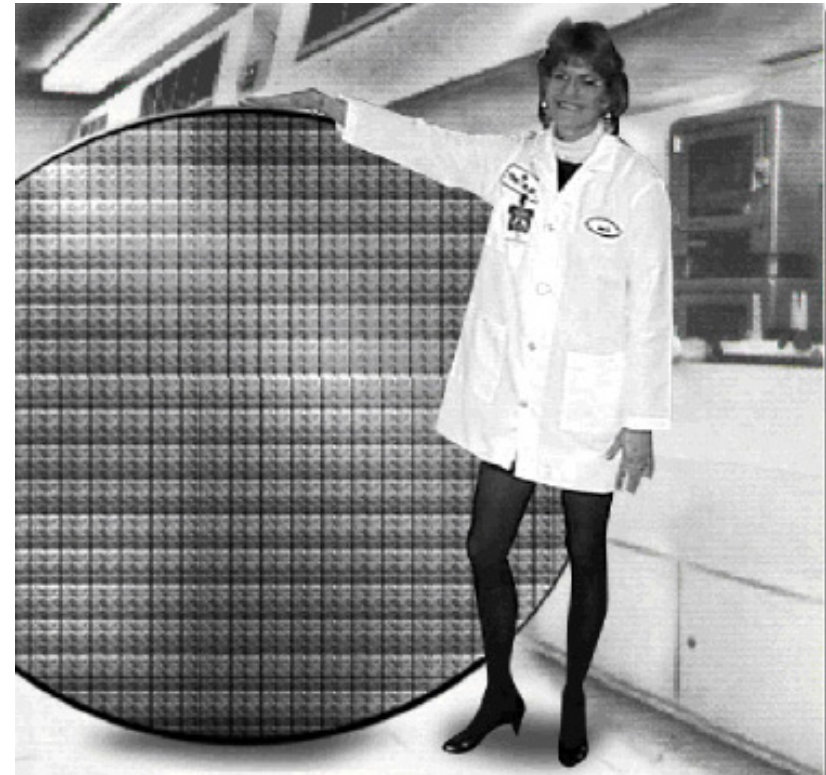
- Semiconductor Technology: Moore's Law
(Similar trend for magnetic storage devices)
 - Continuous miniaturization
 - Increasing complexity



Nature **406** (2000) 118.

Motivation

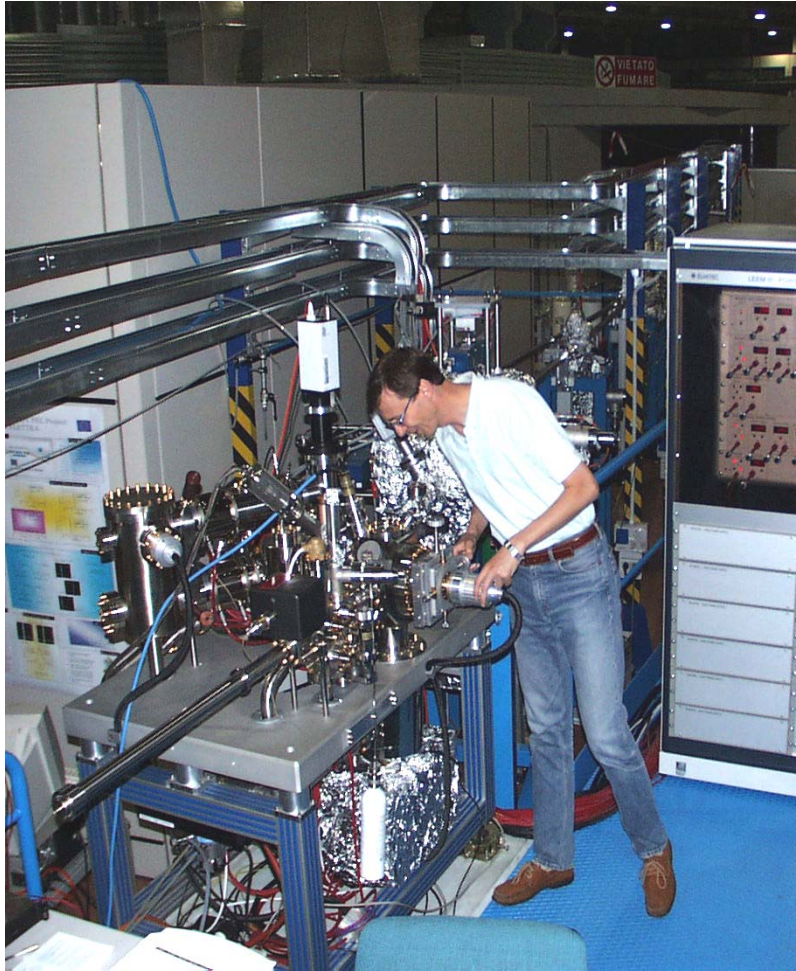
- Semiconductor Technology: Moore's Law
(Similar trend for magnetic storage devices)
 - Continuous miniaturization
 - Increasing complexity



Motivation

- Semiconductor Technology: Moore's Law (Similar trend for magnetic storage devices)
 - Continuous miniaturization
 - Increasing complexity
- Need for Analytical Tools with Access to
 - Chemical composition
 - Electronic and magnetic properties
- Lateral Resolution ~ 100 nm
- Delivered by X-ray Spectroscopy (XPS) in combination with X-ray Microscopy (PEEM)

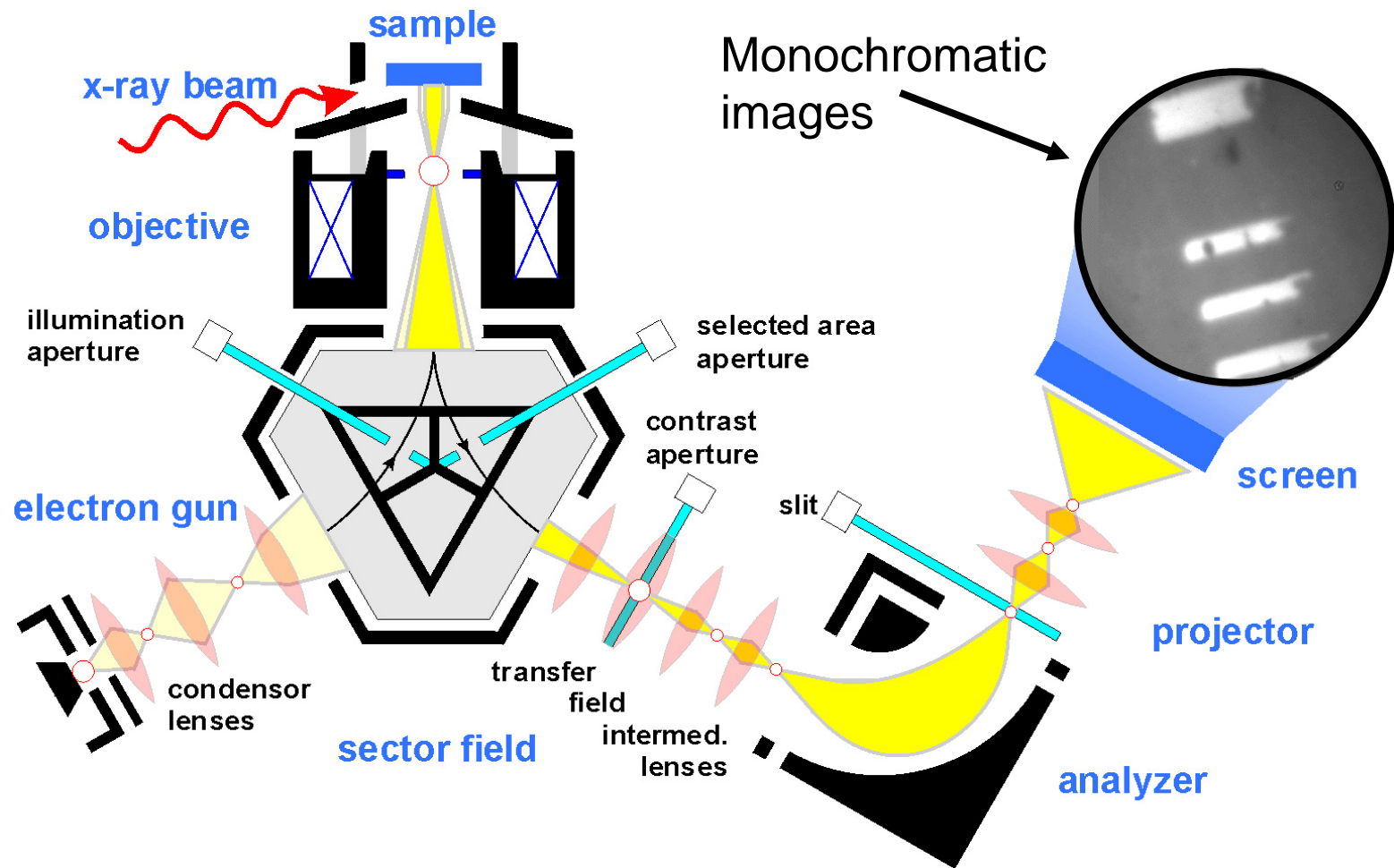
The SPELEEM at ELETTRA



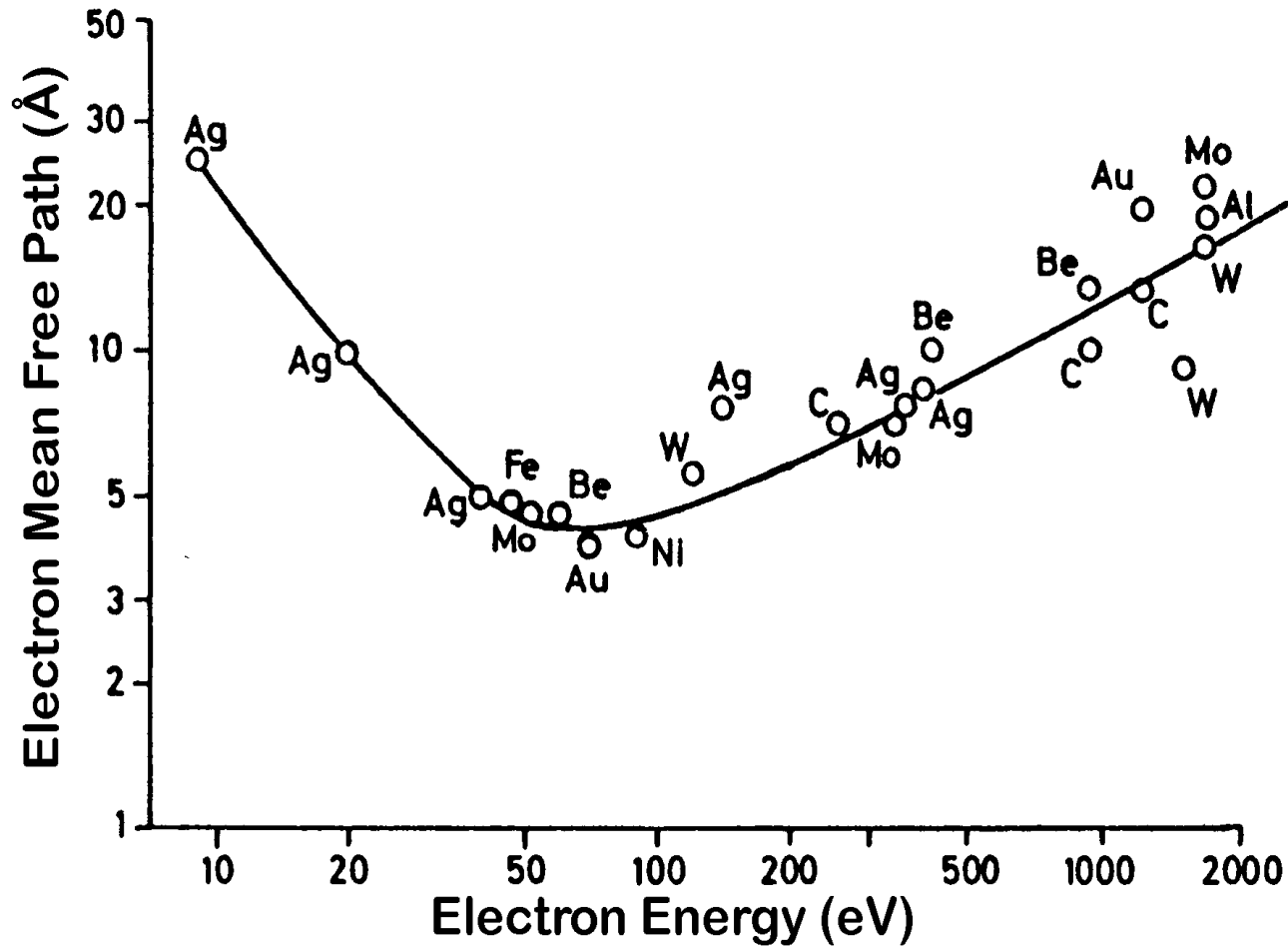
- ❑ Best energy resolution: 250 meV
- ❑ Best lateral resolution: 25 nm
- ❑ Variable polarization
- ❑ 20 - 1000 eV
- ❑ Photon flux 10^{13} ph/s
- ❑ Small spot ($2\mu\text{m} \times 25 \mu\text{m}$)

The SPELEEM instrument

Spectroscopic Photo-Emission and Low Energy Electron Microscope



Photoelectron Mean Free Path



M. P. Seah and W. A. Dench: Surf. Interface Anal. 1 (1979) 2.



Model Material Systems

- Semiconductor Quantum Dots (InAs/GaAs, Ge/Si), obtained by self-assembly during MBE growth
- Mesoscopic Devices fabricated by Local Anodic Oxidation Nanolithography with an Atomic Force Microscope
- Suspended individual Single Wall Carbon Nanotubes connecting Si nanostructures

Model Material Systems

- Semiconductor Quantum Dots (InAs/GaAs, Ge/Si), obtained by self-assembly during MBE growth
- Mesoscopic Devices fabricated by Local Anodic Oxidation Nanolithography with an Atomic Force Microscope
- Suspended individual Single Wall Carbon Nanotubes connecting Si nanostructures

InAs/GaAs Quantum Dots

- AMD Group @ TASC

- Giorgio Biasiol
- Giovanni Golinelli
- Lucia Sorba

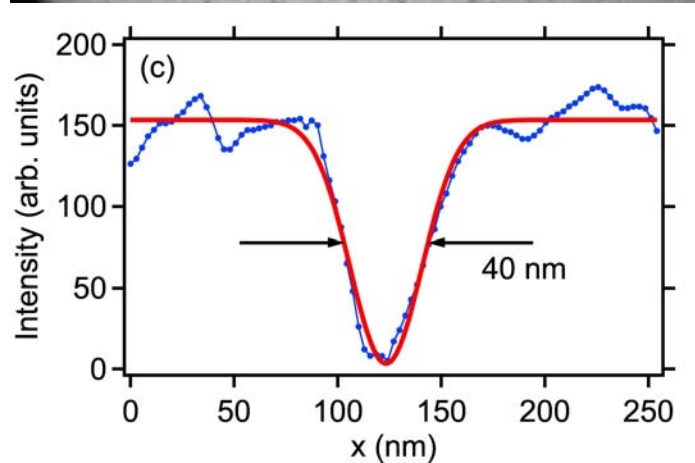
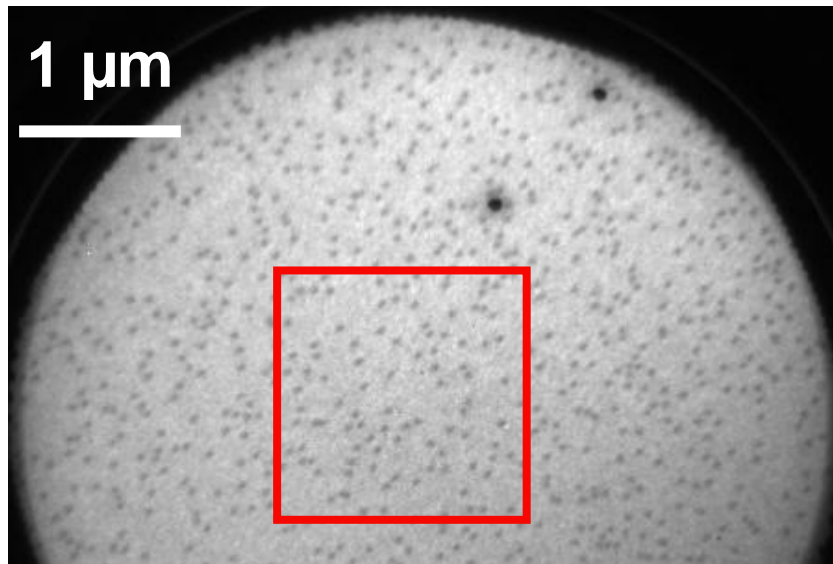
- Nanospectroscopy Beamline @ Elettra

- Andrea Locatelli
- Tevfik Onur Mentesh
- Fangzhun Guo (Spring-8)

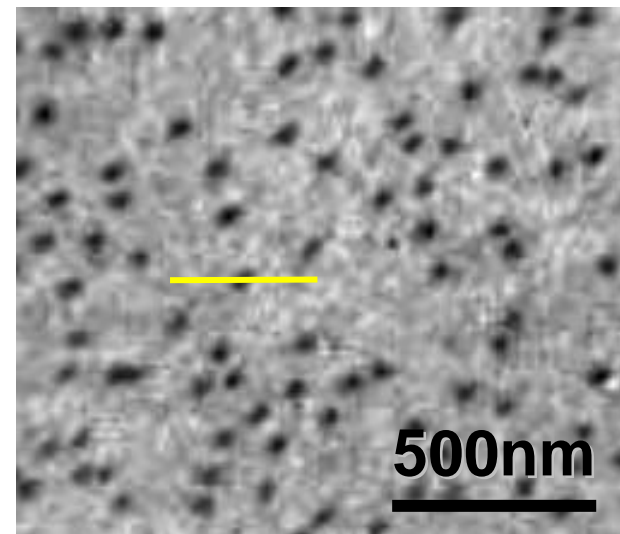
Motivation

- Quantum Dot Applications based on their particular electronic properties (confinement)
- Strain-driven self-assembly (SK-growth)
- Model systems: InAs/GaAs, Ge/Si
- Intermixing and alloying allow for partial strain relaxation
- Composition (gradients) within the dot influence energy levels and shift the emission wavelength

InAs/GaAs Islands (LEEM)

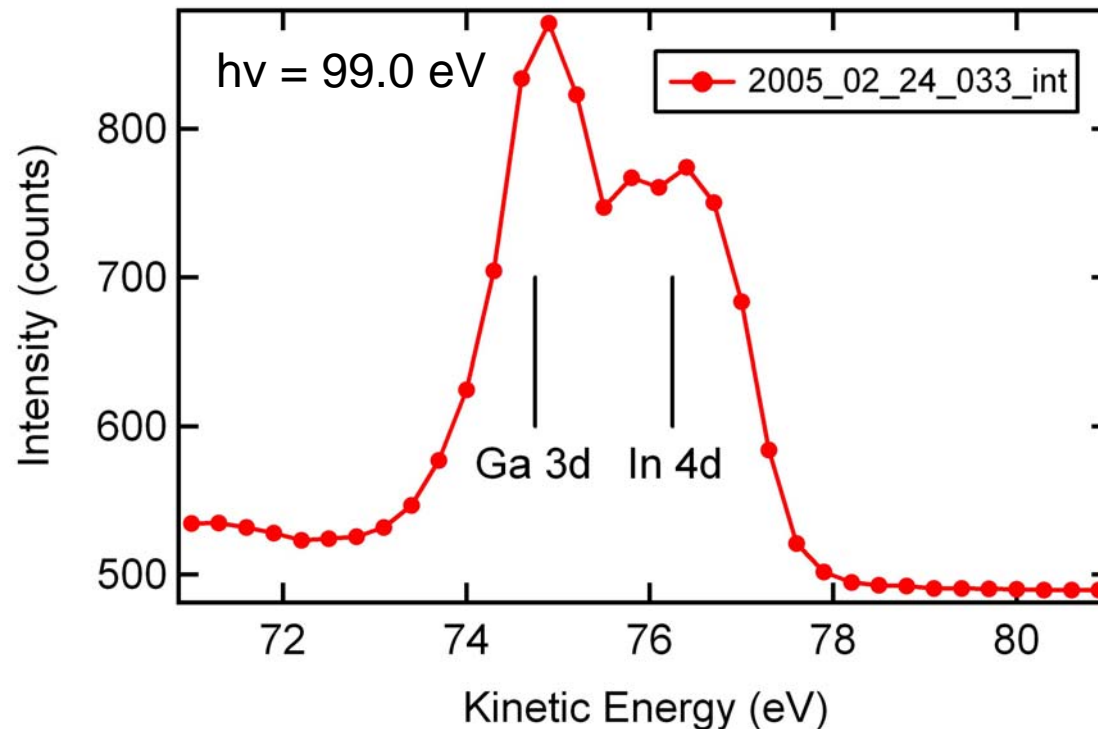


- Electron Microscopy
- LEEM
- 5 μm FOV
- $E_{\text{kin}} = 7.6 \text{ eV}$



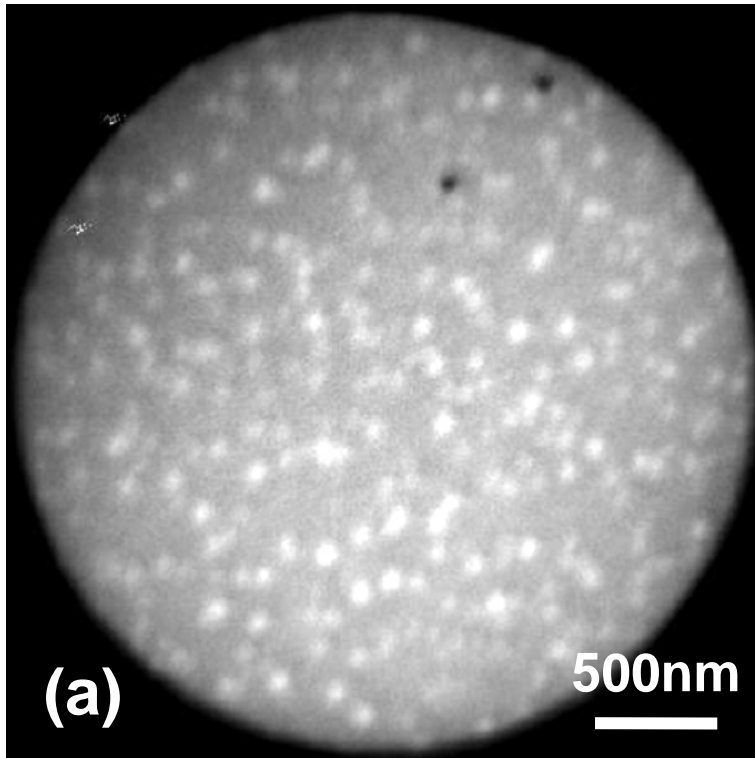
G. Biasiol et al.: Appl. Phys. Lett. **87** (2005) 223106.

“Integral” Core Level Spectra

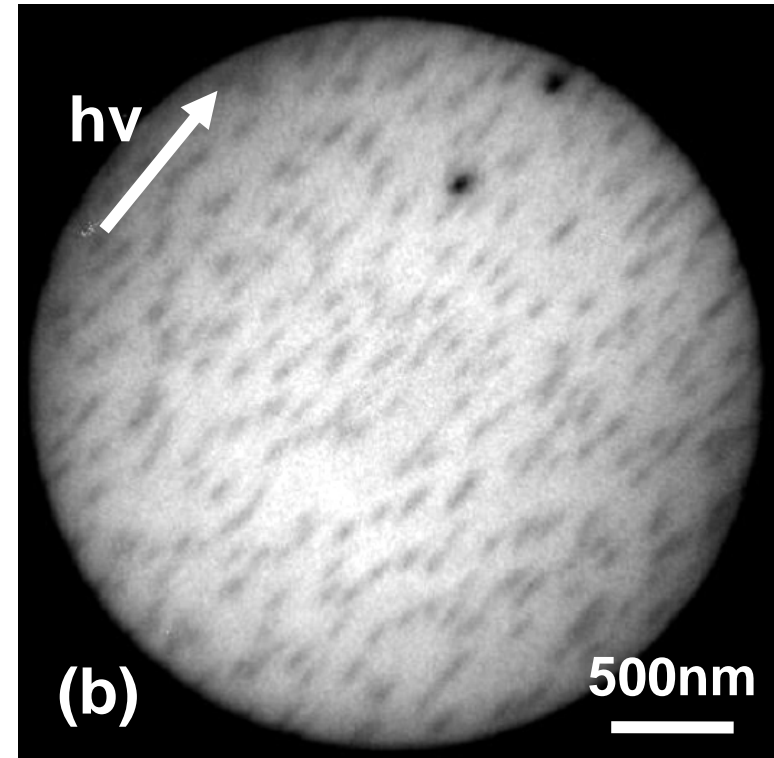


- Spectra taken from a 1 μm x 1 μm sample area.
- III-V stoichiometry after decapping confirmed.

XPEEM Core Level Imaging

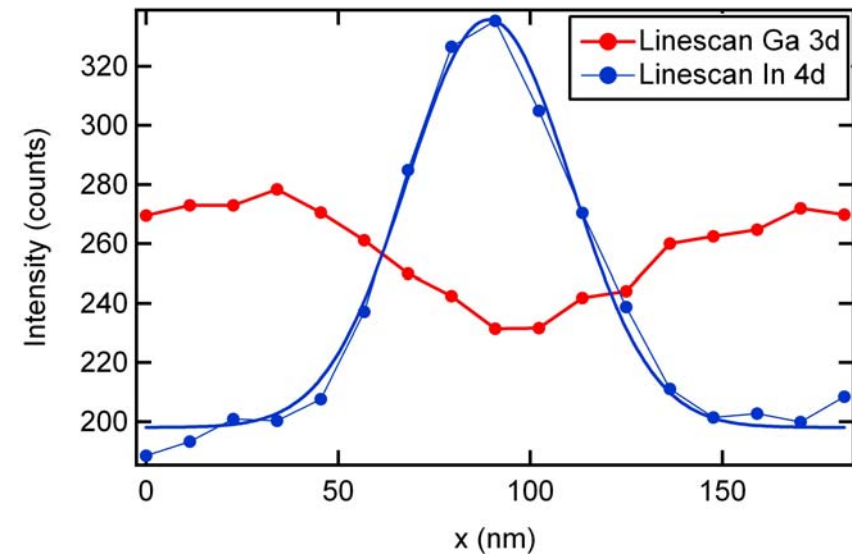
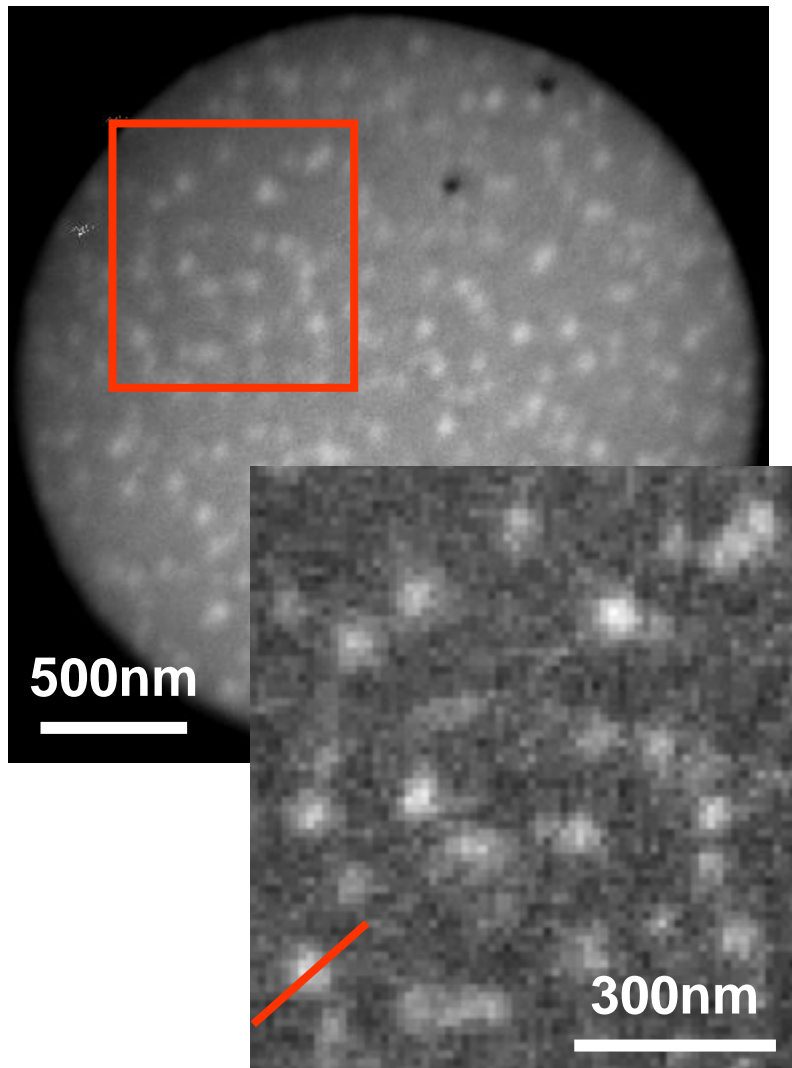


In 4d XPEEM image
 $h\nu = 99.0 \text{ eV}$, $E_{\text{kin}} = 76.25 \text{ eV}$



Ga 3d XPEEM image
 $h\nu = 99.0 \text{ eV}$, $E_{\text{kin}} = 74.75 \text{ eV}$

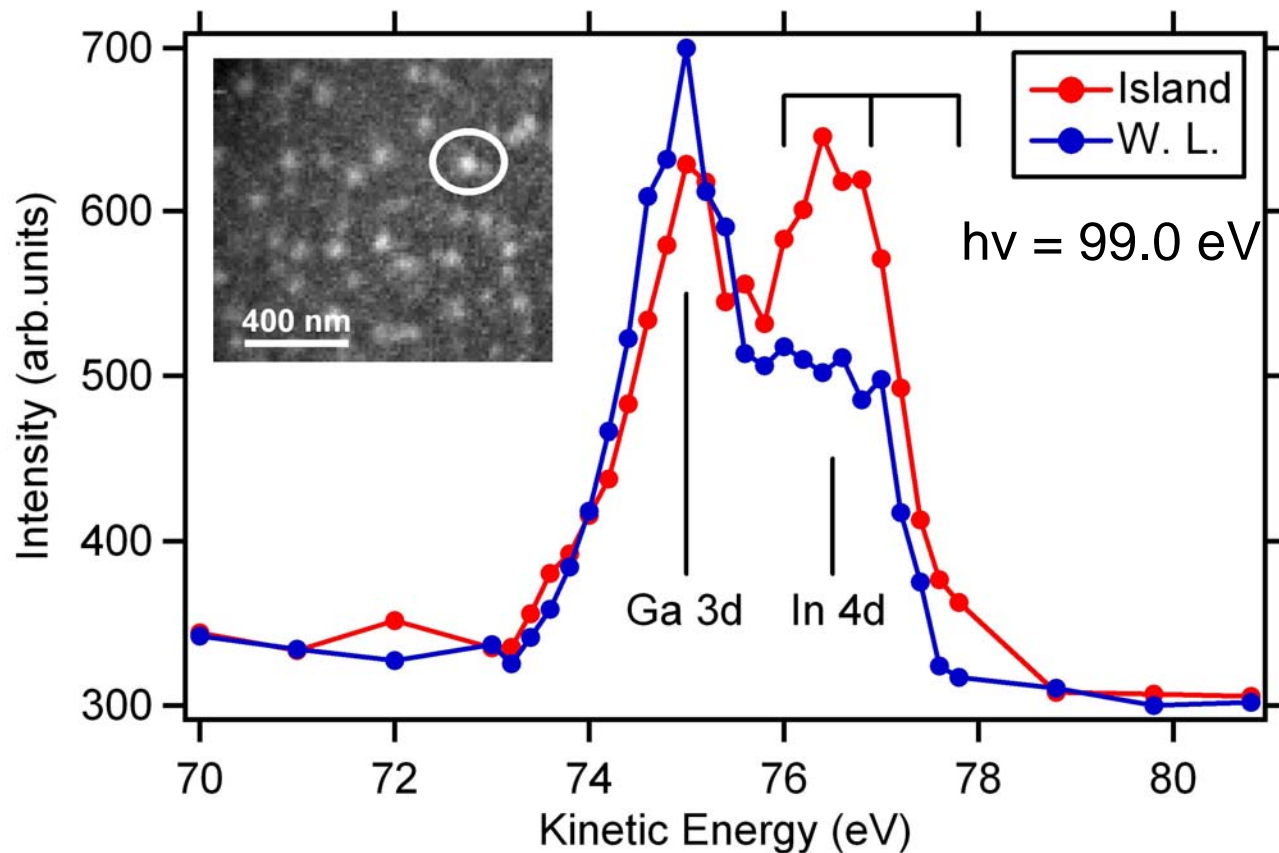
Island Size in XPEEM



Island Size \approx 60 nm,
consistent with
LEEM and TEM

G. Biasiol et al.:
Appl. Phys. Lett. **87** (2005) 223106.

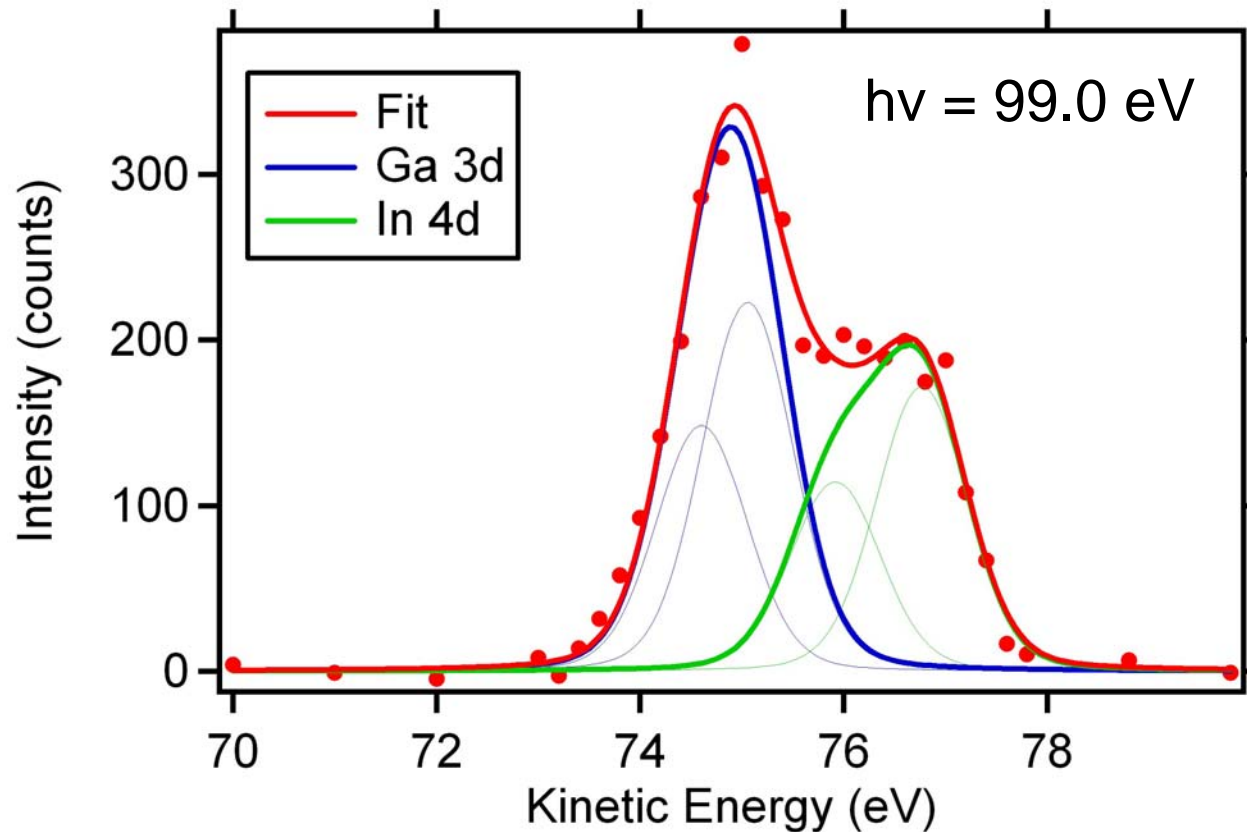
XPEEM Local Spectra



Integration area 25 nm x 25 nm, energy resolution ≈ 1 eV

G. Biasiol et al.: Appl. Phys. Lett. **87** (2005) 223106.

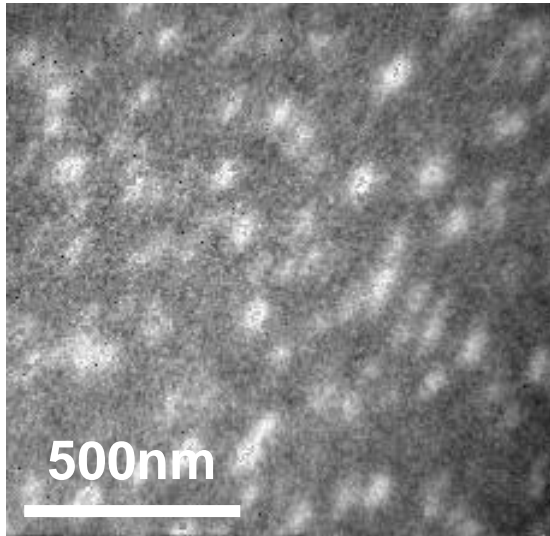
Core Level Line Profile Analysis



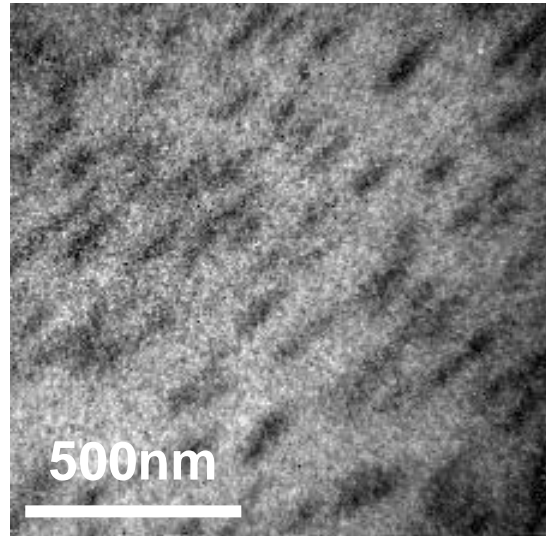
Spectrum from Wetting Layer, Shirley Background subtracted
Gauss 1 eV, Lor 0.16 eV, BR 1.5, SO: Ga 3d 0.45 eV, In 4d 0.85 eV

G. Biasiol et al.: Appl. Phys. Lett. **87** (2005) 223106.

2D Fit of XPEEM Data



In 4d peak area
Min: 220, Max: 520



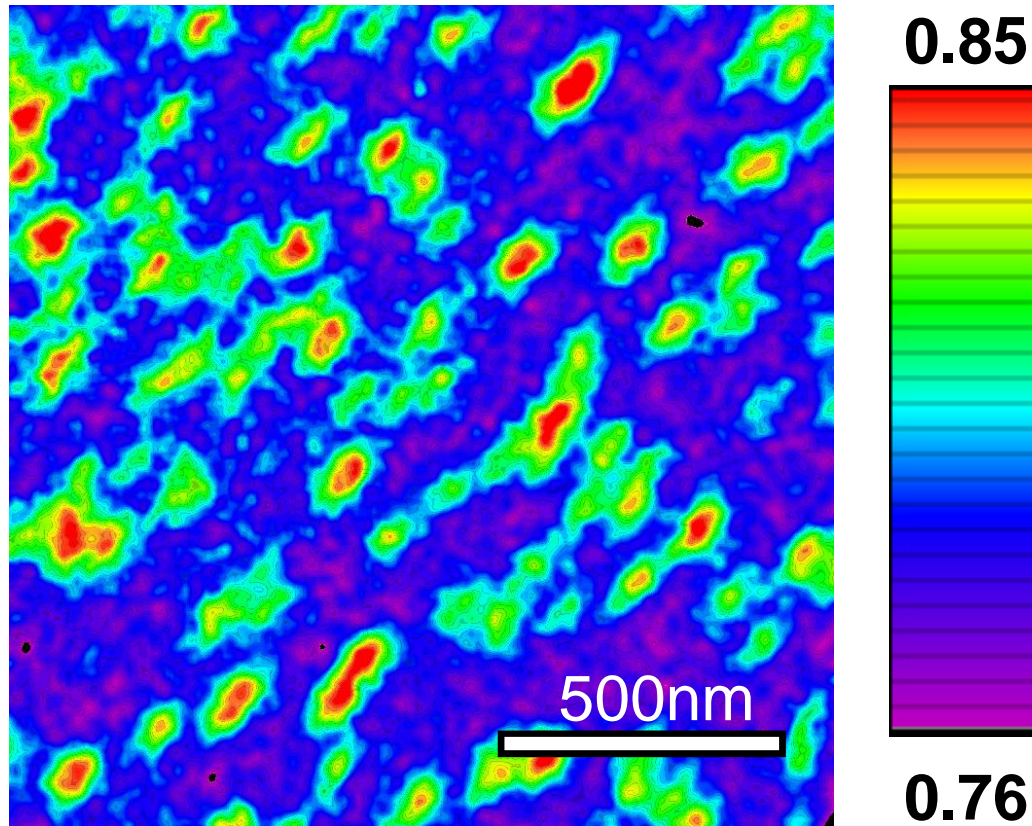
Ga 3d peak area
Min: 270, Max: 470

Ratio of Number of Atoms:

$$\frac{n_{In}}{n_{Ga}} = \frac{I_{In}}{I_{Ga}} \cdot \frac{\sigma_{Ga}}{\sigma_{In}}$$

Indium Surface Concentration Map

$$\frac{n_{In}}{n_{tot}} = \frac{I_{In} \sigma_{Ga}}{I_{In} \sigma_{Ga} + I_{Ga} \sigma_{In}}$$



Model Material Systems

- Semiconductor Quantum Dots (InAs/GaAs, Ge/Si), obtained by self-assembly during MBE growth
- Mesoscopic Devices fabricated by Local Anodic Oxidation Nanolithography with an Atomic Force Microscope
- Suspended individual Single Wall Carbon Nanotubes connecting Si nanostructures

Local Anodic Oxidation on GaAs

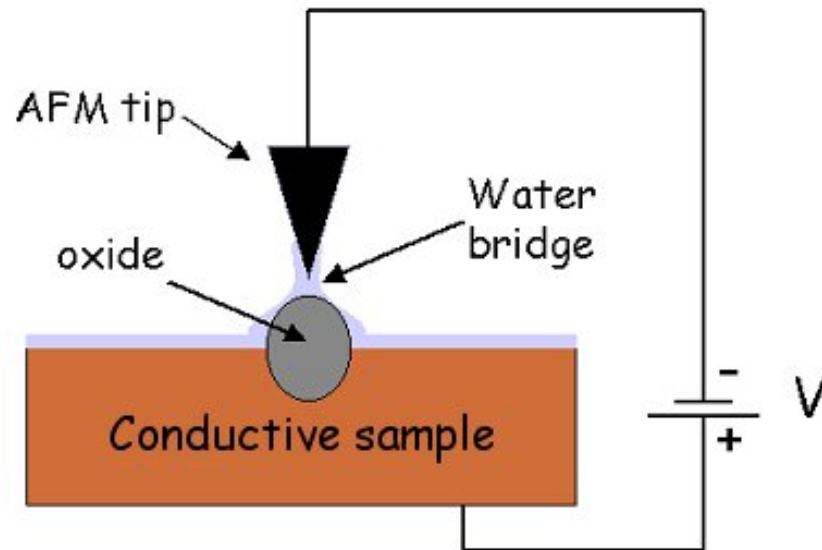
- AMD Group @ TASC

- Giorgio Mori
- Marco Lazzarino
- Daniele Ercolani
- Giorgio Biasiol
- Lucia Sorba

- Nanospectroscopy Beamline @ Elettra

- Andrea Locatelli

Local Anodic Oxidation (LAO)

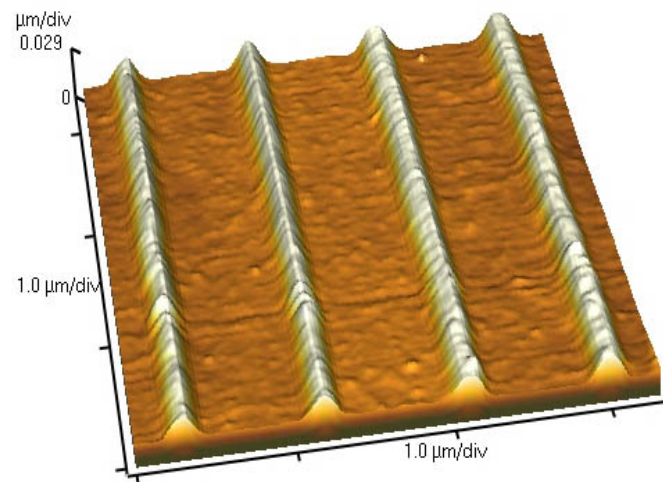


Common model:

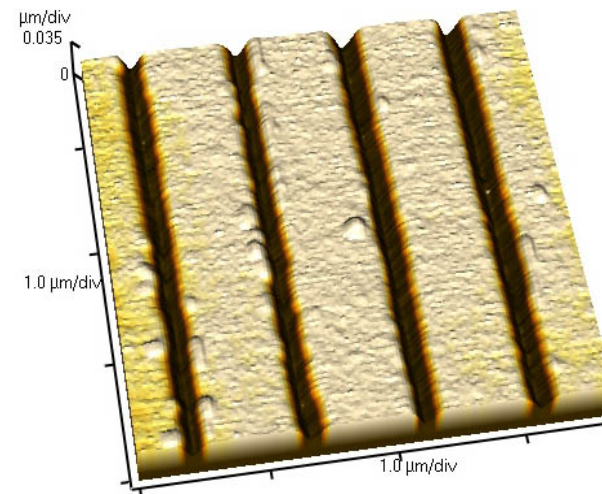
- Water electrolysis
 $\text{H}_2\text{O} \rightarrow \text{H}^+ + \text{OH}^-$.
- OH^- groups (or O^-) migrate towards the substrate-oxide interface.
- Oxide penetration induced by the intense local electric field ($>10^7$ V/cm).

Versatile tool at relatively low cost
High lateral resolution but small area

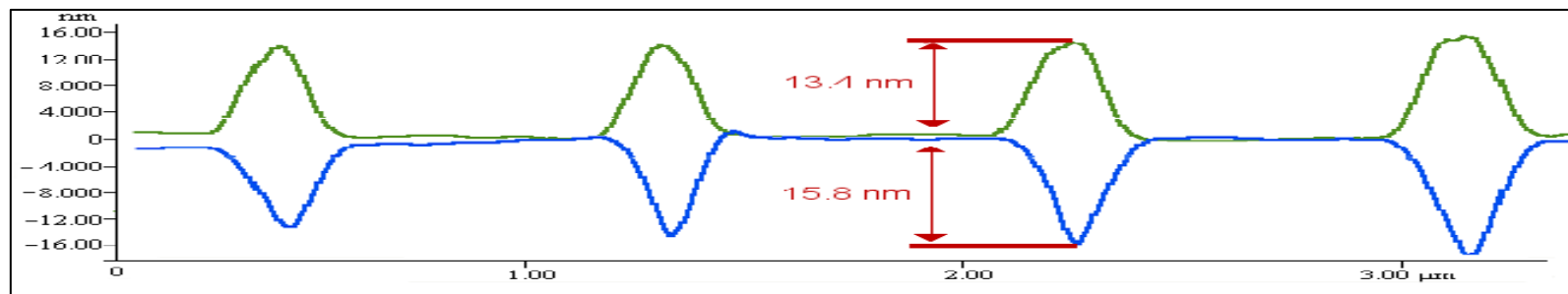
Local Anodic Oxidation (LAO)



After oxidation



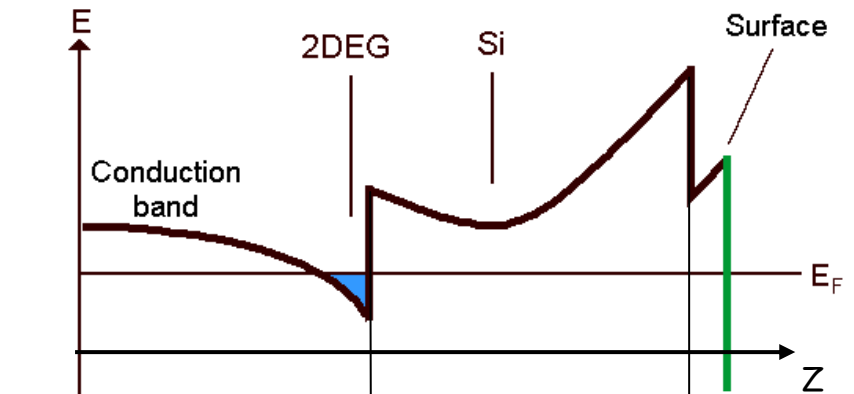
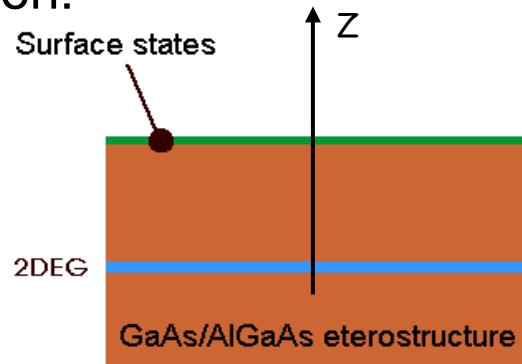
Oxide removal with HF 10%, 30 s



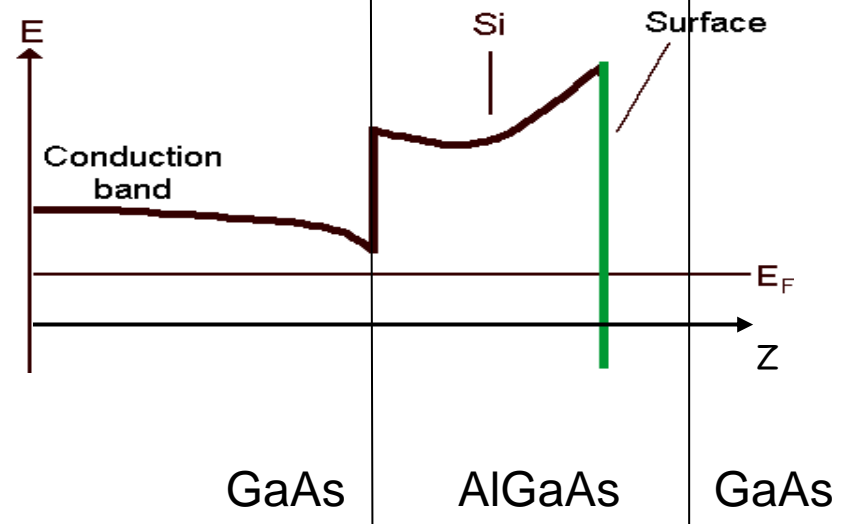
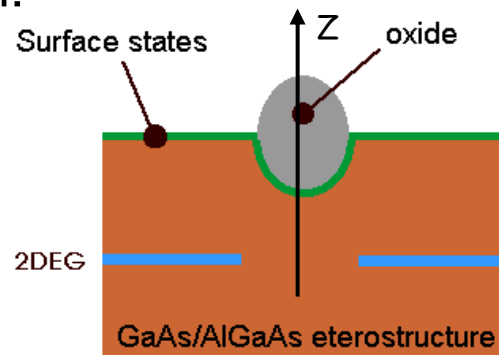
The penetration depth is 1.0-1.5 times the oxide height

LAO on GaAs/AlGaAs

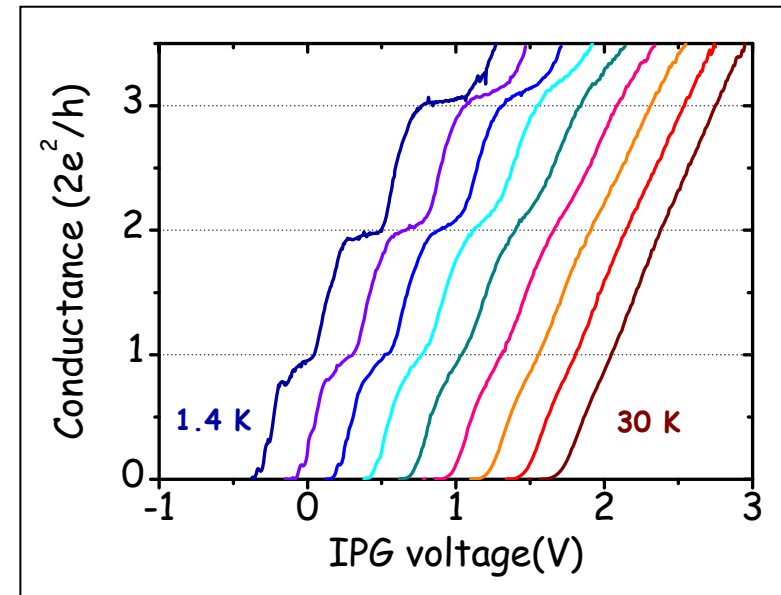
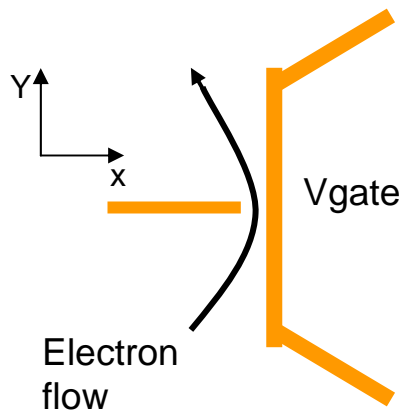
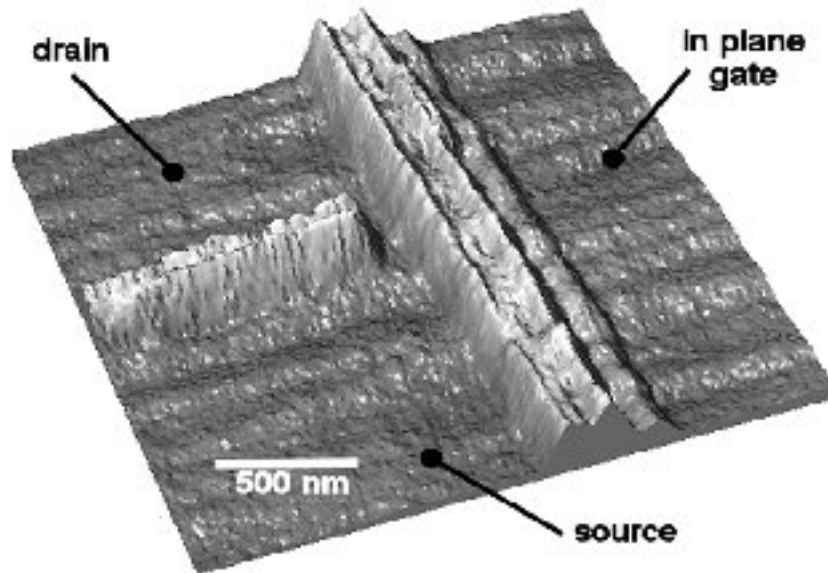
Before oxidation:



After oxidation:

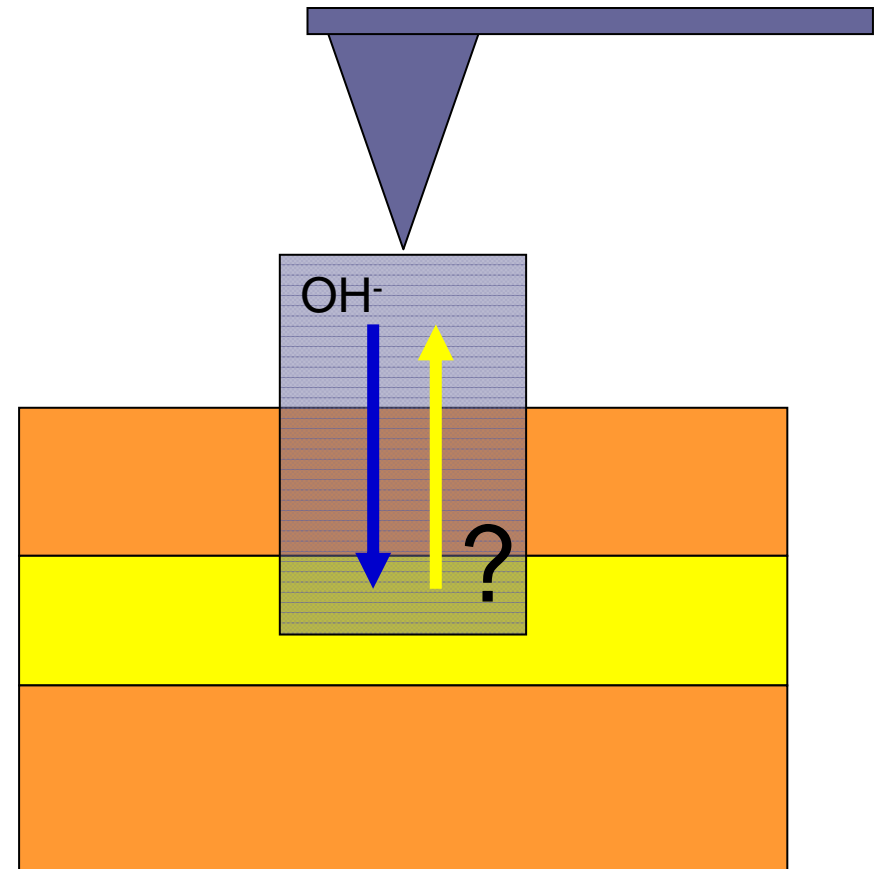
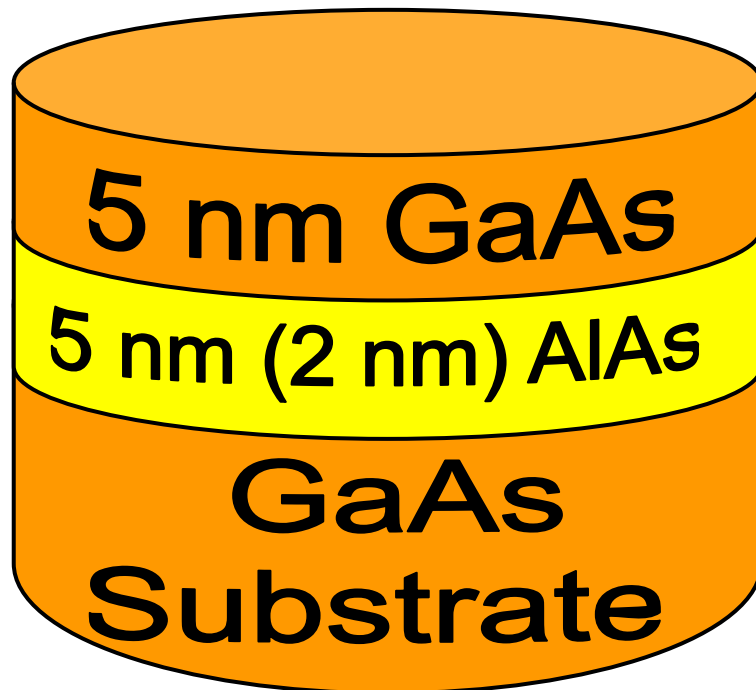


Quantum Point Contact

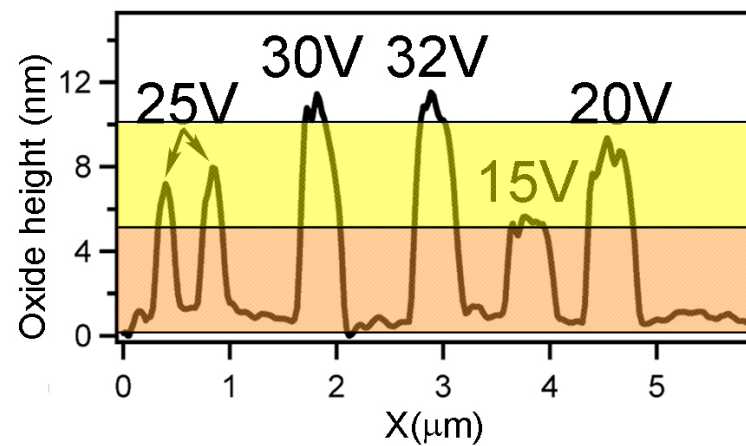
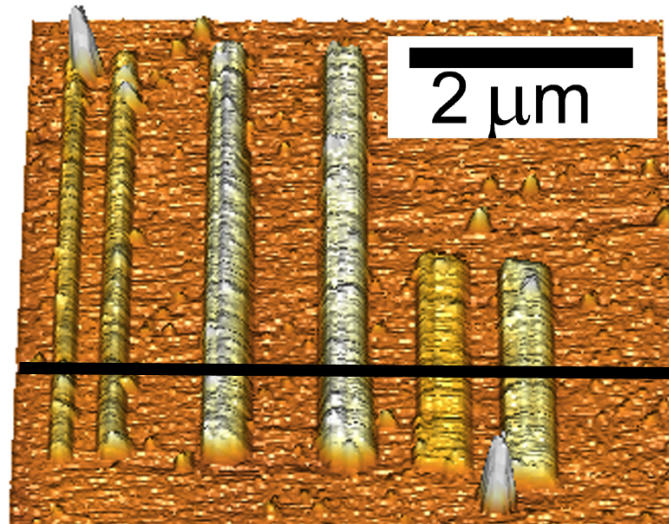


G. Mori et al, J. Vac. Sci. Technol. B **22** (2004) 570.

The dynamics of the LAO process



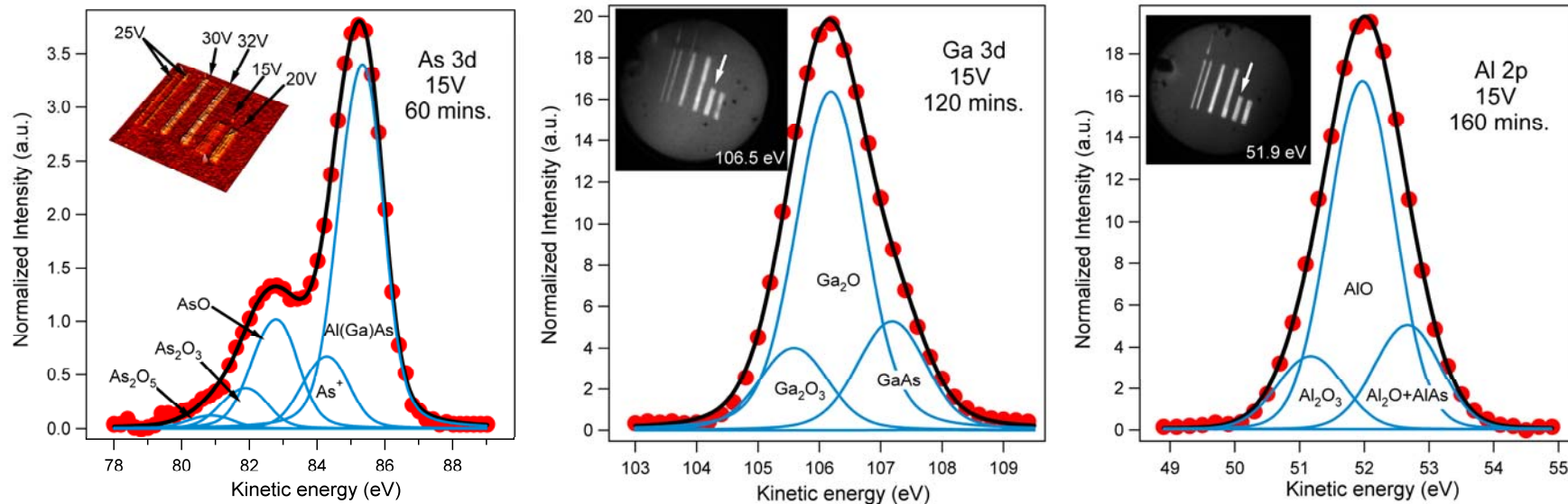
LAO on III-V Heterostructures



AlAs
GaAs

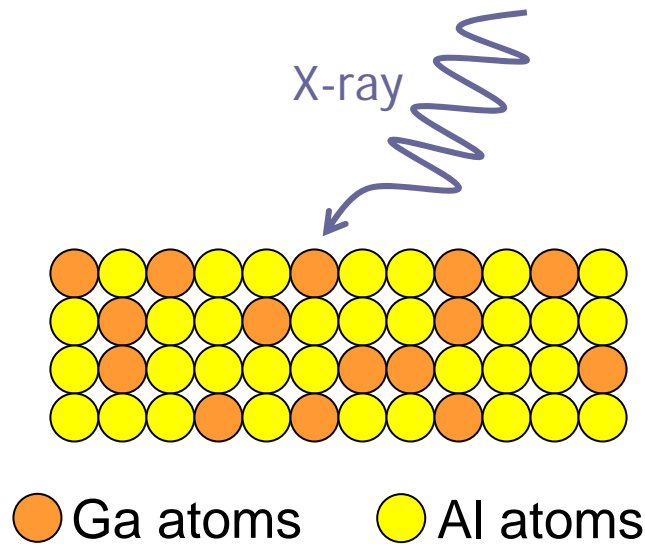
G. Mori et al.: J. Appl. Phys. **98** (2005)114303.

Chemical composition of LAO oxide

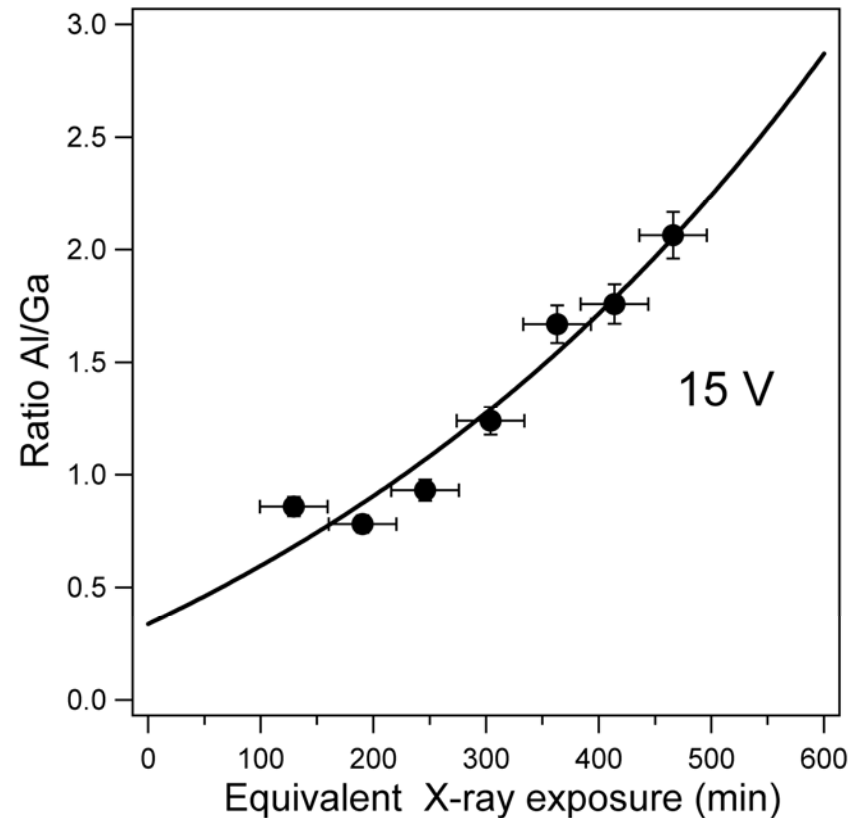


- Aluminium observed at the surface of the LAO oxide
- No Al in the regions not oxidized with LAO
- Time-resolved study: Ga-oxides desorb under x-rays (much faster than Al-oxides)

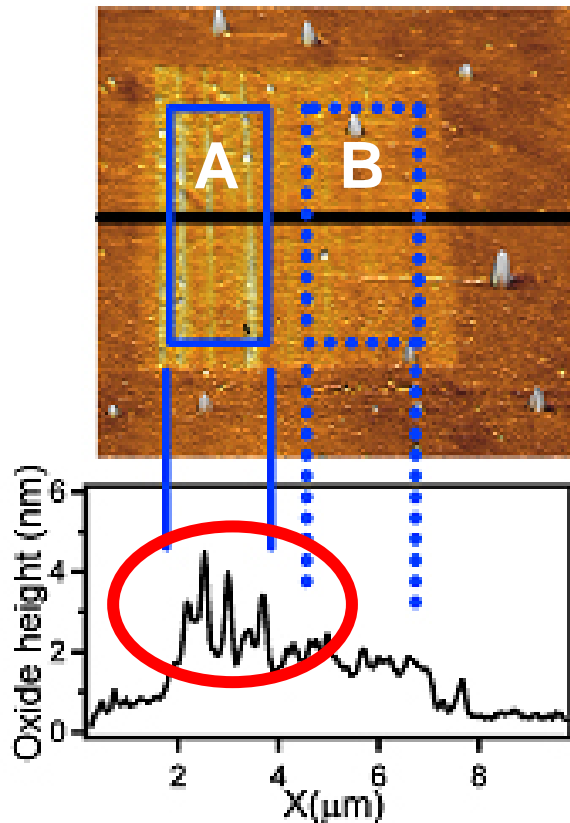
The effect of X-ray exposure



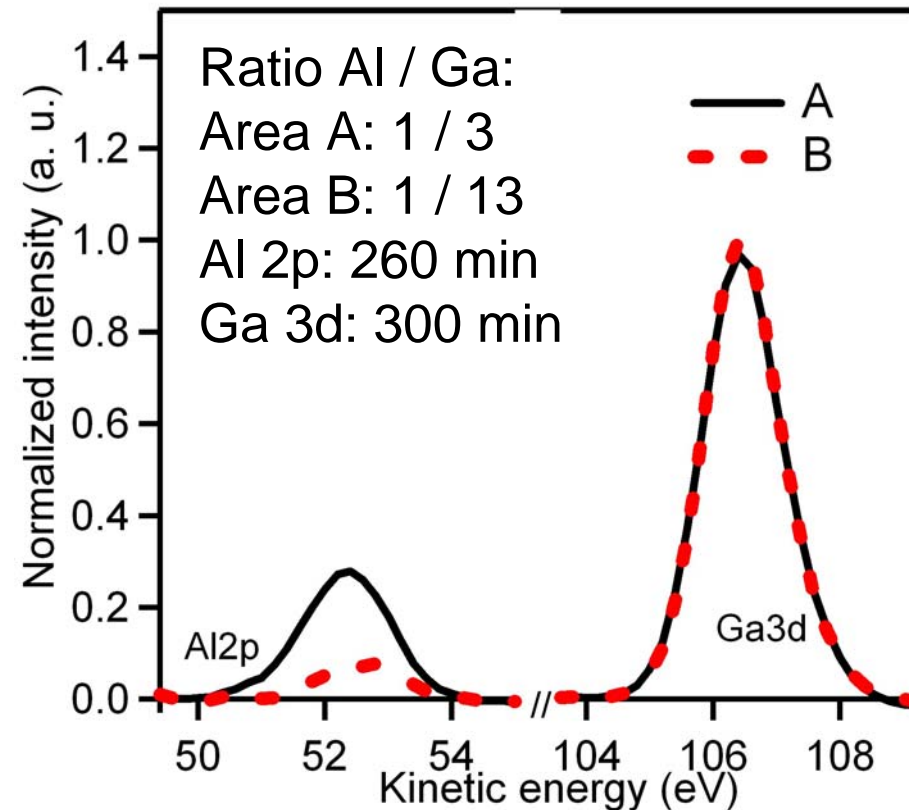
$$N_{Al}(t) \cong N_{Al}^0 + N_{Ga}^0 \cdot \left(1 - e^{-\frac{t}{\tau_{Ga}}} \right)$$



Shallow Oxidations



Average oxide height:
Area A: 1.9 ± 0.7 nm
Area B: 1.3 ± 0.3 nm

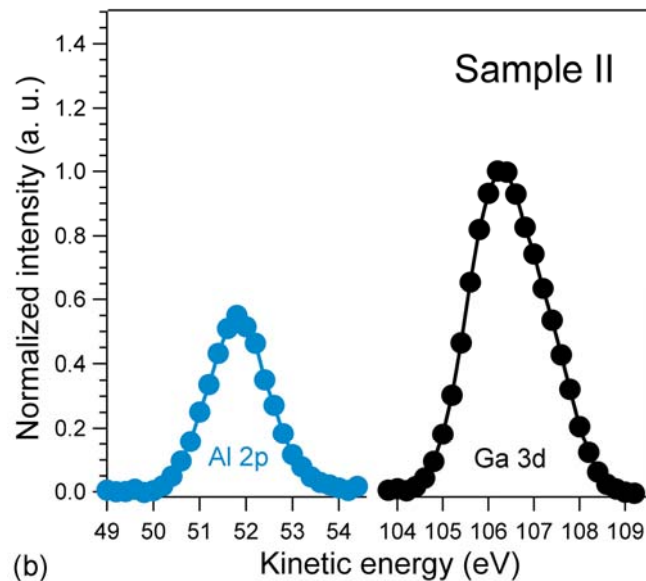


Al content depends strongly on oxide height

G. Mori et al.: J. Appl. Phys. **98** (2005)114303.

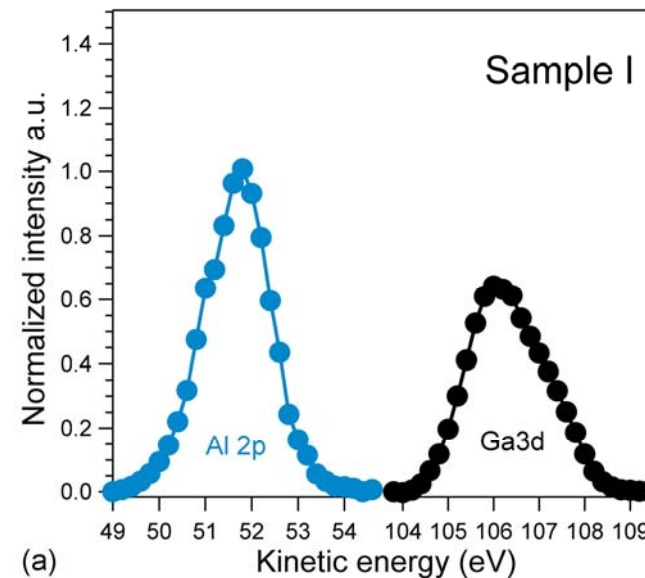
Variation in AlAs layer thickness

- 2 nm AlAs
- Oxide height 9.0 ± 1.0 nm



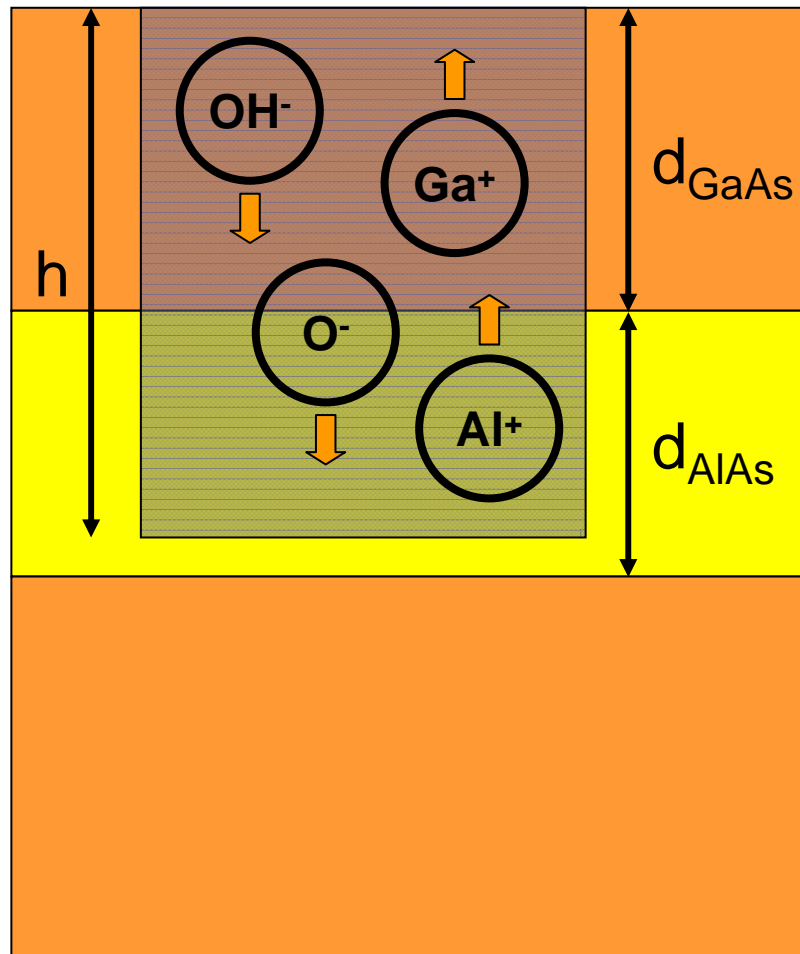
- Ratio Al / Ga: 0.5
- Al 2p: 220 min
- Ga 3d: 300 min

- 5 nm AlAs
- Oxide height 8.9 ± 0.9 nm



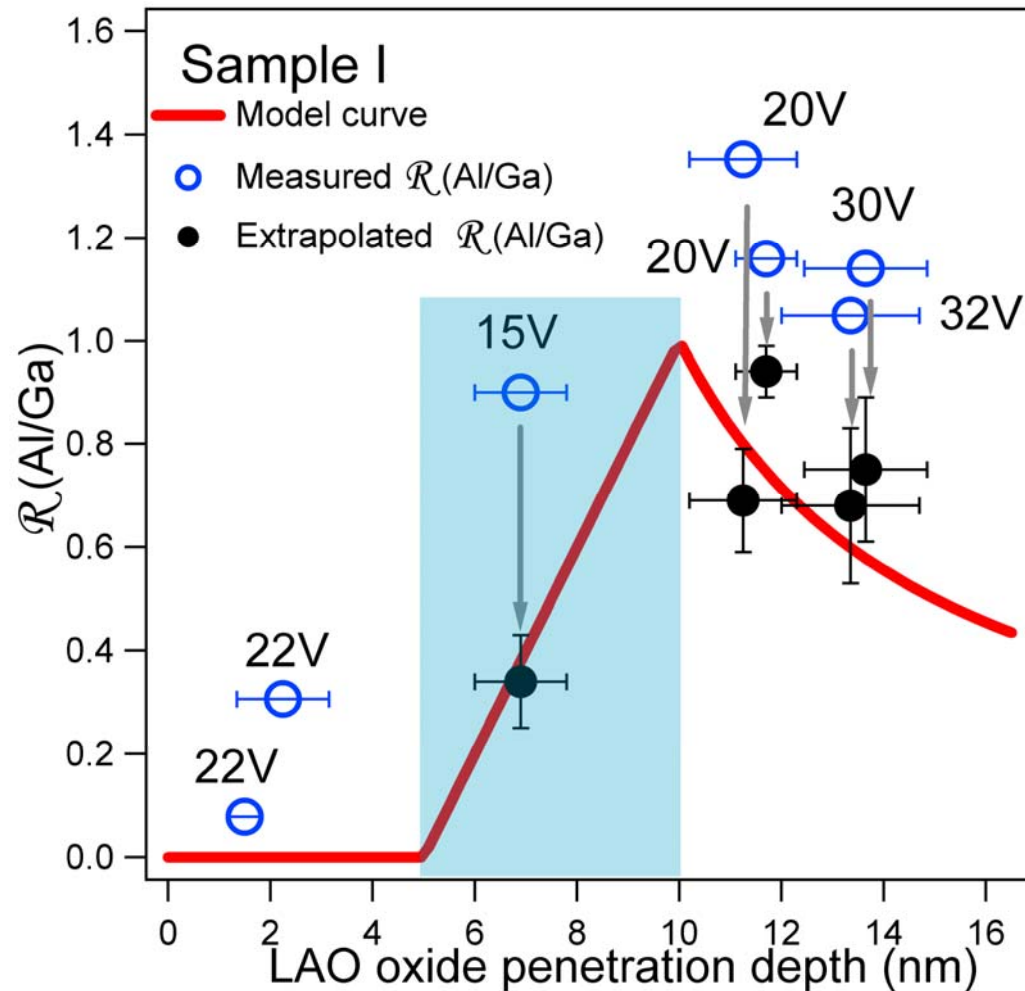
- Ratio Al / Ga: 1.3
- Al 2p: 260 min
- Ga 3d: 230 min

Refined Model



- Diffusion of oxygen-rich ions plus substrate ions
- Homogeneous mixing of components
- Ratio Al / Ga:
 - 0 for $h < d_{\text{GaAs}}$
 - $(h - d_{\text{GaAs}}) / d_{\text{GaAs}}$ for $d_{\text{GaAs}} < h < d_{\text{GaAs}} + d_{\text{AlAs}}$
 - $d_{\text{AlAs}} / (h - d_{\text{AlAs}})$ for $h > d_{\text{GaAs}} + d_{\text{AlAs}}$

Comparison with Experiment



G. Mori et al.: J. Appl. Phys. **98** (2005)114303.

Model Material Systems

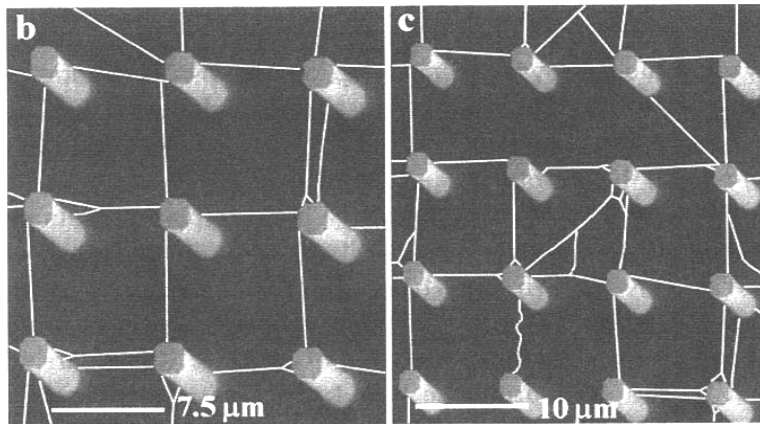
- Semiconductor Quantum Dots (InAs/GaAs, Ge/Si), obtained by self-assembly during MBE growth
- Mesoscopic Devices fabricated by Local Anodic Oxidation Nanolithography with an Atomic Force Microscope
- Suspended individual Single Wall Carbon Nanotubes connecting Si nanostructures

Suspended Carbon Nanotubes

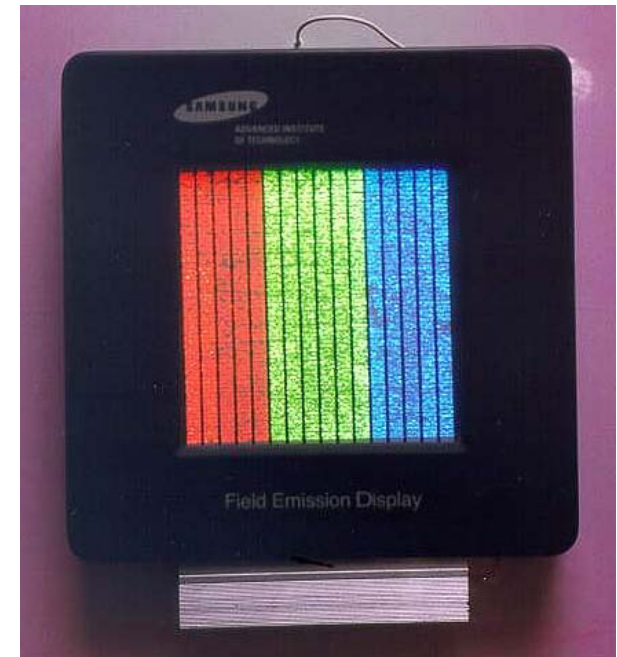
- NTT Basic Research Laboratories
 - Satoru Suzuki
 - Yoshio Watanabe
 - Yoshikazu Homma
 - Shin-ya Fukuba
- Nanospectroscopy Beamline @ Elettra
 - Andrea Locatelli

Motivation

- Carbon Nanotube Applications:
 - Field effect transistor
 - Field emission displays
 - Interconnects



Adv. Mat. **12** (2000) 890

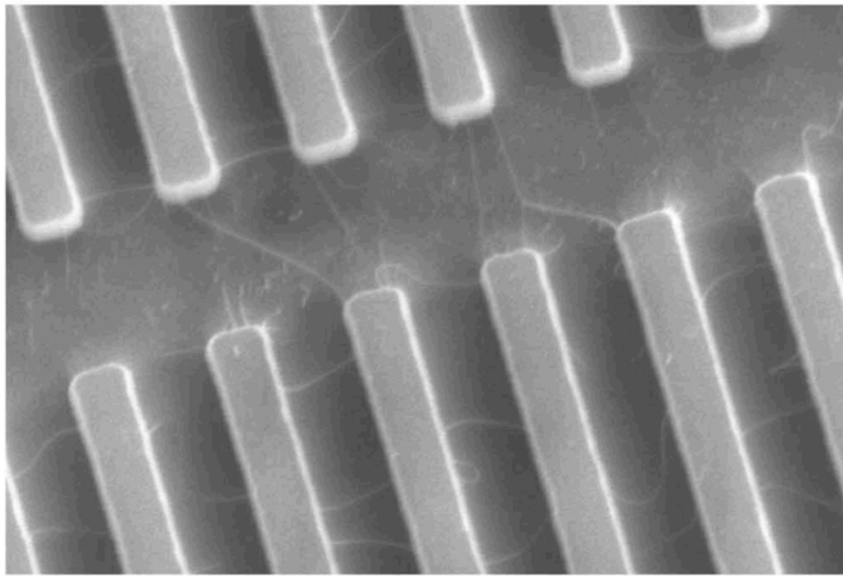


APL **75** (1999) 3129

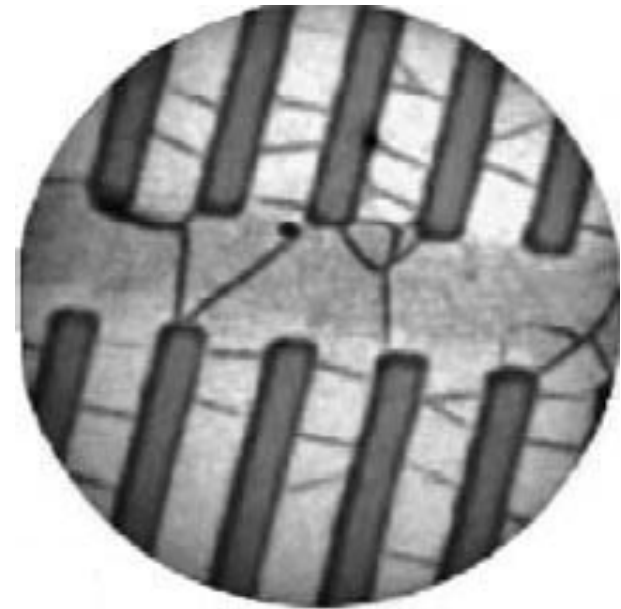
- Work function and electronic properties of these CNT close to the Fermi energy are important

Samples for SPELEEM studies

- Si line pattern, pitch 1 μm , height 500 nm
- SWNT grown by CVD at 900°C (Fe catalyst)
- SWNT diameter 1-3 nm

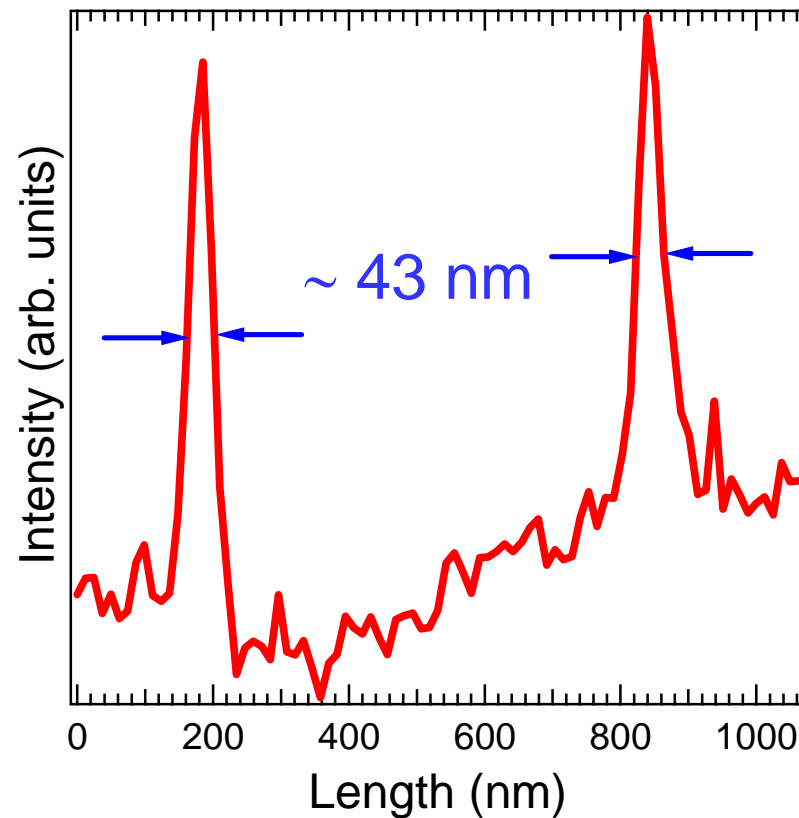
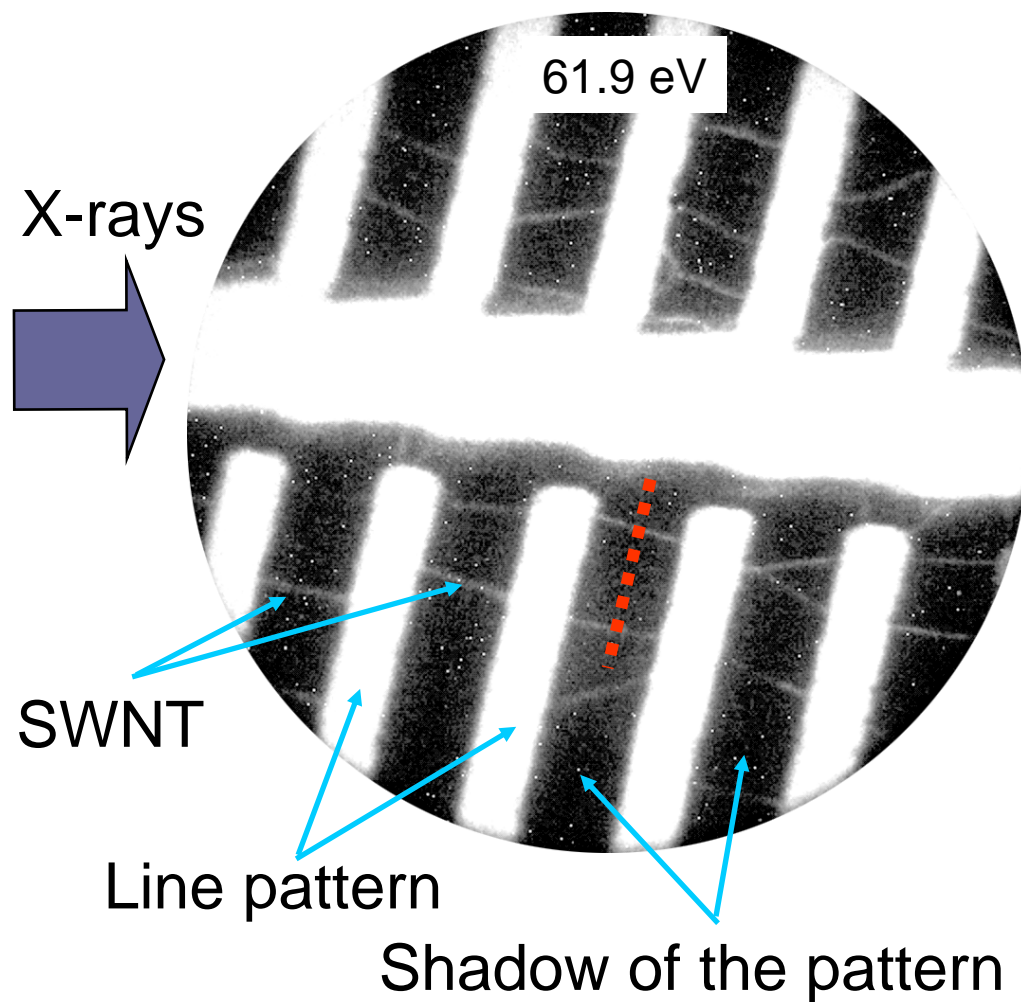


SEM



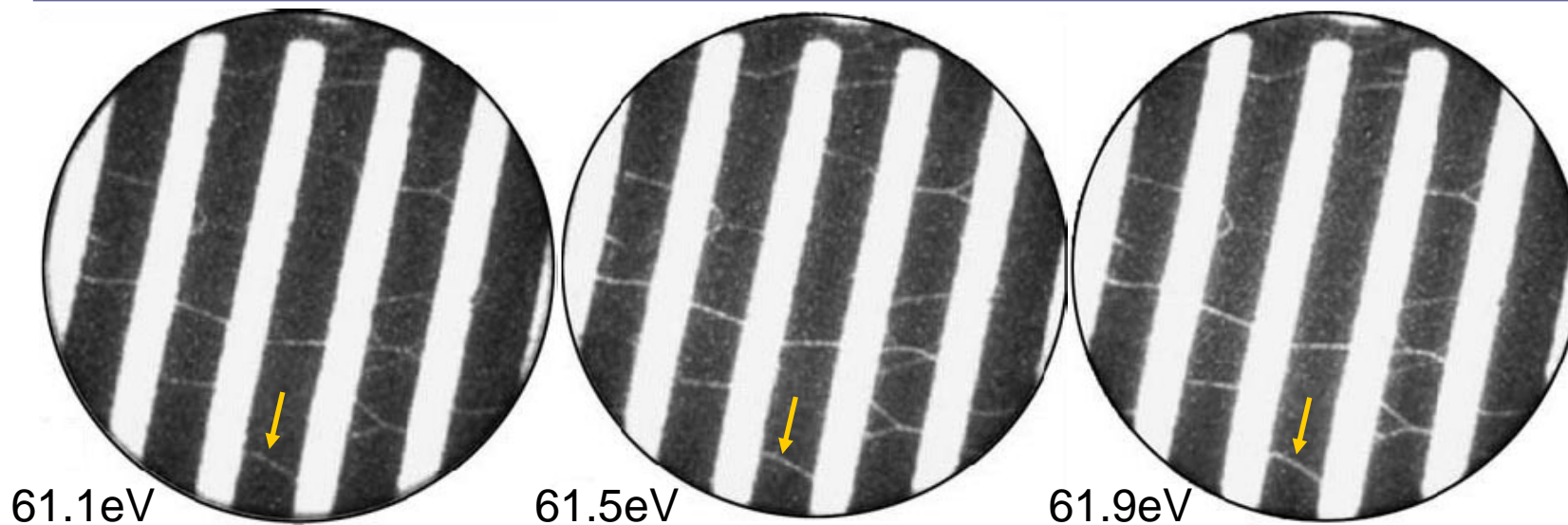
LEEM

C 1s core level image



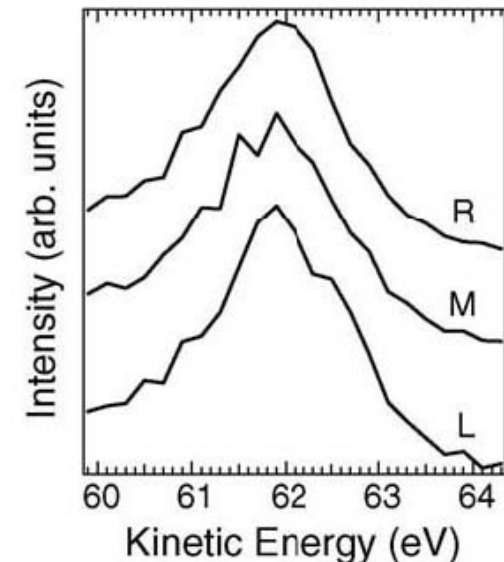
Photoelectron intensity profile along the broken line.

C 1s core level spectra

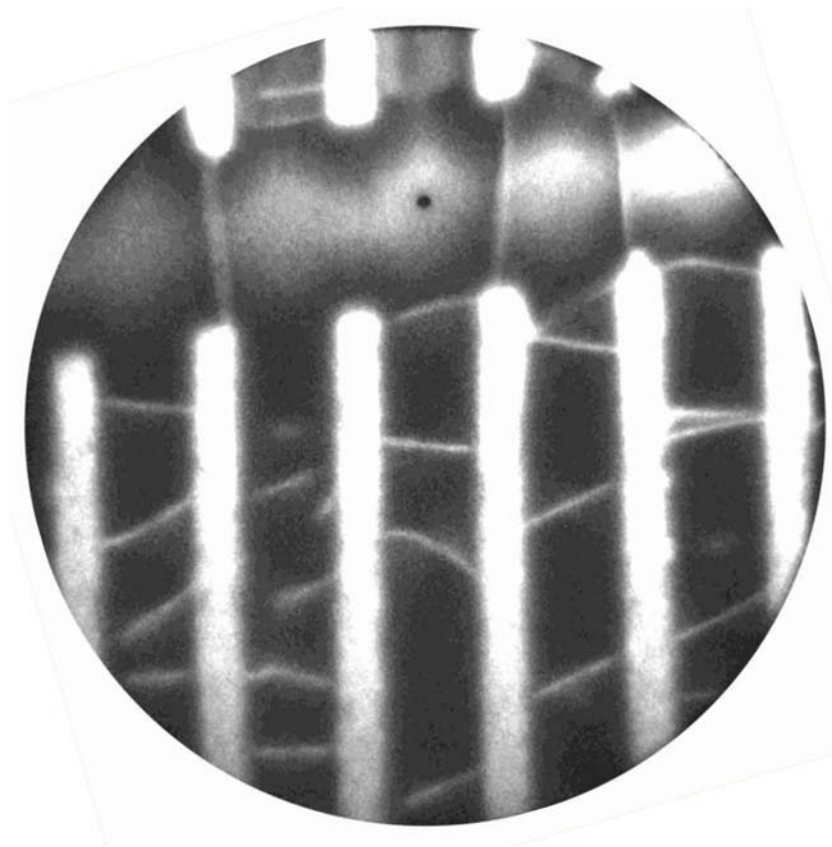


- No band bending along tube axis
- Depletion width < 40 nm

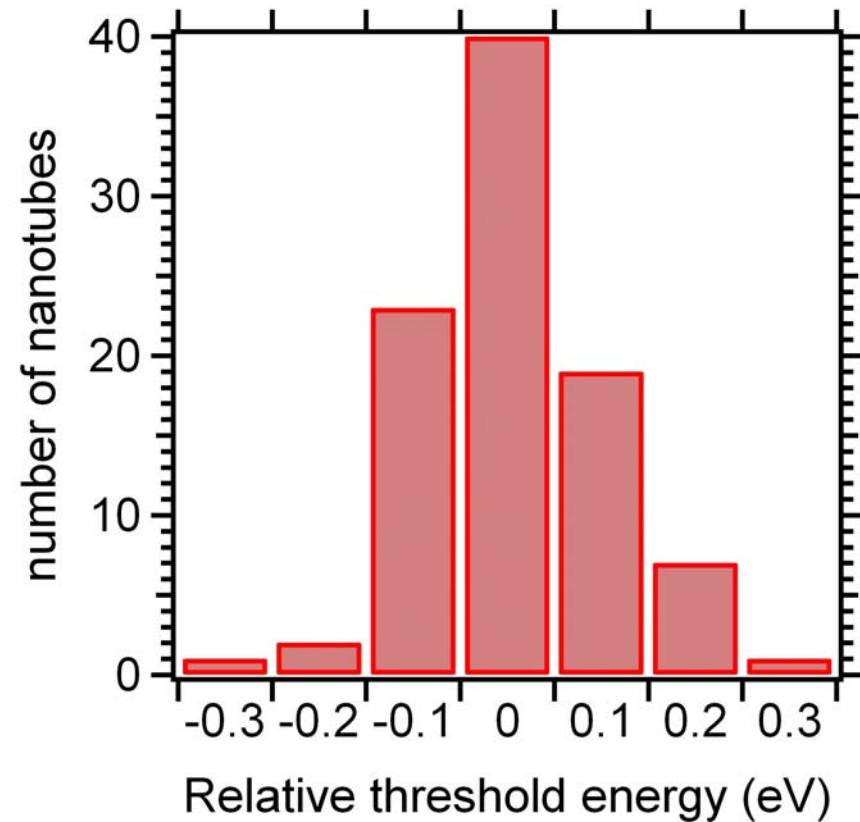
S. Suzuki et al.: J. Electron Spectrosc.
Relat. Phenom. **144-147** (2005) 357.



CNT work function



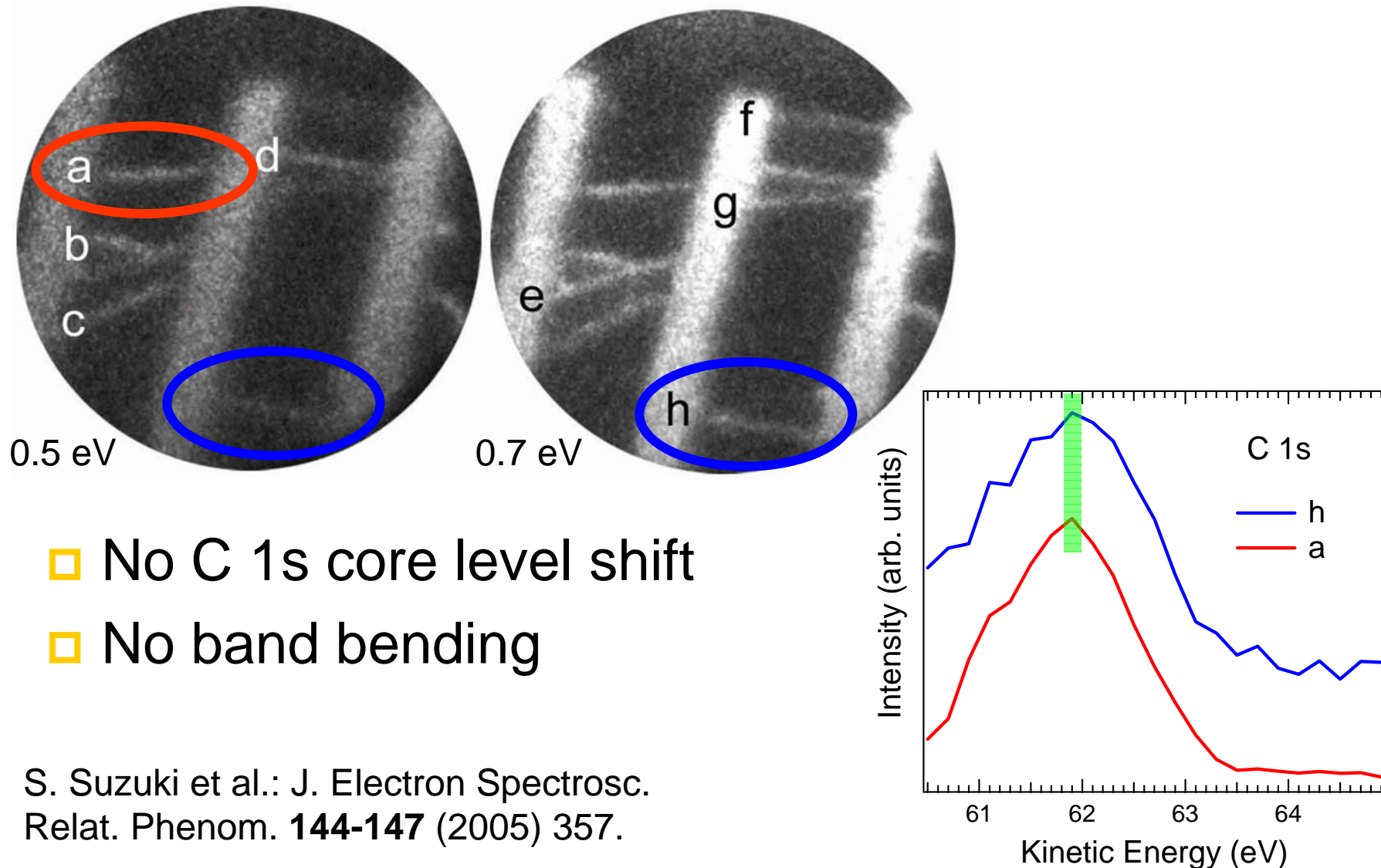
- Secondary electron PEEM image



- Work function distribution of 93 SWNT

S. Suzuki et al.: Appl. Phys. Lett. **85** (2004) 127.

Secondary electron PEEM images



- No C 1s core level shift
- No band bending

S. Suzuki et al.: J. Electron Spectrosc.
Relat. Phenom. **144-147** (2005) 357.

Conclusions

- X-ray microscopy in combination with x-ray spectroscopy provides information on:
 - Elemental composition
 - Sample chemistry
 - Electronic properties
 - Magnetic properties
- Today's x-ray spectromicroscopes offer
 - Some ten nanometer lateral resolution
 - Sufficient energy resolution (some 100 meV)
- Ideal tools for analysis of nanostructures